

COMPLIANCE

Direction On What To Do, When To Do It



ComplySight™

Take the guess work out of the compliance equation. Finally, an always up-to-date checklist of every compliance responsibility and requirement.

ComplySight is a compliance project manager that handles the two major burdens of compliance:

1

ONGOING COMPLIANCE

Organizing and tracking the day-to-day compliance tasks

2

NEW COMPLIANCE

Alerting you to what's changed and what, as a result, needs to be done

ComplySight breaks down the compliance obligations of a credit union into 16 operational categories, and outlines the actions required in plain English.

Better yet, as you cross things off the list, ComplySight keeps track of your progress and measures your credit union's overall compliance "score."

You'll be able to easily identify compliance holes, demystifying what "complying" means at a nuts and bolts level.

Never again wonder if there are things you just don't know about.



OHIO CREDIT
UNION LEAGUE

Operational Categories of ComplySight

- Accounts
- ACH/Electronic Payments
- Advertising
- Bank Bribery Act
- Bank Secrecy Act
- Bankruptcy & Collections
- Board Responsibility
- Continuity Planning
- Credit Union Act
- Field of Membership
- Investments
- Leasing
- Loans
- Privacy
- Record Retention
- Security

Basic ComplySight Package Elements



Content & Task Management

One year-license* to ComplySight's easy-to-understand guidance on compliance requirements. Easily determine your credit union's compliance position and exactly what actions to take to improve it



Support

Experts available via phone or web to answer any questions as you use ComplySight



Training

Plenty of assistance in getting started, as well as ongoing training as new rules are issued and enhancements are added



Regulatory Alerts

When regulations change or new guidance is introduced, you will be notified and provided customized guidance



Users Group

Connect with fellow ComplySight users for insights and best practices



Reports

Robust reporting features, overviews, and in-depth information on your credit union's level of compliance

*A stand-alone license for ComplySight is available, minus the onboarding and ongoing support.

Your Annual Investment

\$1,500 ... Assets of less than \$50 million

\$3,200 ... Assets of \$50-\$250 million

\$5,500 ... Assets of more than \$250 million

Enhanced Support Packages

Additional support in managing your compliance obligations through ComplySight is available from Ohio Credit Union League-partner PolicyWorks, a subsidiary of the Iowa Credit Union League. Make use of these enhanced packages and let PolicyWorks' staff of experienced compliance professionals help you shoulder the continued compliance demands.

MORE INFORMATION

Joshua Reams
Business Consultant
Ohio Credit Union League
D: (800) 486-2917, ext. 279
C: (614) 581-1657
jreams@ohiocul.org

MANAGEMENT

Management Package

It's like adding a compliance specialist to your staff at a fraction of the cost. PolicyWorks' staff works hand-in-hand with your credit union to monitor and maintain nine critical compliance areas by performing reviews and managing the scoring and action item assignment in ComplySight

AUDIT

Audit Package

PolicyWorks' staff provides annually-required audits for BSA independent testing, Automated Clearinghouse, and SAFE Act. Additionally, will audit your credit union's consumer and real estate lending programs, including disclosures. Findings are documented with support and tasks to complete in order to reach compliance

COMPLETE

Complete Package

Includes all ComplySight packages and services from PolicyWorks (Management and Audit), creating a more streamlined and efficient approach to staying compliant